

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Seiichi MIYANAGA

Application No.: 09/763,156

Confirmation No.: 3543

Filed: March 21, 2001

Art Unit: N/A

For: SURFACE MODIFIER

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT**  
**(SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL**  
**REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE**  
**1.114 RCE APPLICATION)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

II. COPIES

a. Copies of cited U.S. patents and patent application publications are not included.  
Copies of foreign patent documents and non-patent literature are included.

b. Some or all of the documents listed on the PTO-SB08 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

c. REFERENCES PREVIOUSLY CITED OR SUBMITTED - Pursuant to 37 C.F.R. §1.98(d), consideration of information listed on the PTO-SB08 form(s) is requested since any patents, publications, or other information which are listed on the PTO-SB08 form(s) but for which copies are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Appl. No(s) and U.S. Filing Date

III. CONCISE EXPLANATION OF THE RELEVANCE:

(check at least one box)

a. DOCUMENTS IN THE ENGLISH LANGUAGE - The patents, publications, or other information listed on the attached PTO SB08 are in the English language and therefore, do not require a statement of relevancy.

b. DOCUMENTS NOT IN THE ENGLISH LANGUAGE - A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

c. ENGLISH LANGUAGE SEARCH REPORT - An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

d. OTHER - The following additional information is provided for the Examiner's consideration.

**IV. FEES (check one box)**

a. This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

b. This Information Disclosure Statement is being filed concurrent with the filing of a continuation-in-part, continuation, or divisional patent application; therefore, no fee is required.

c. This Information Disclosure Statement is being filed within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required.  
*(This section is not to be used with RCE's.)*

d. This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or statement is required.

e. This Information Disclosure Statement is being filed concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.

f. This Information Disclosure Statement is being filed before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(e) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account for the fee as required by 37 C.F.R. § 1.17(p).

g. This Information Disclosure Statement is being filed before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(e)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(e)(2)).

h. No statement; therefore, a fee as required by 37 C.F.R. § 1.17(p) is attached.

or

See the statement below. No fee is required.

V. STATEMENT UNDER 37 C.F.R. § 1.97(c)

(check only one box)

The undersigned hereby states that:

a. Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this IDS; or

b. Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

c. No item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.

d. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VI. PAYMENT OF FEES (check one box)

The required fee is listed on the attached Fee Transmittal.

No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: June 18, 2007

Respectfully submitted,



By \_\_\_\_\_  
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## Attachment(s):

PTO/SB/08  
 Document(s)  
 Foreign Search Report(s)  
 Fee  
 Other:

Substitute for Form 1449(PTO)				Complete if Known	
				Application Number	09/763,156-Conf #3543
				Filing Date	March 21, 2001
				First Named Inventor	Seiichi MIYANAGA
				Att'l List	N/A
(Use as many sheets as necessary)				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	0425-0824P

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Case No.*	Document Number	Publication Date MM-DD-YYYY	Name of Parent(s) or Recipient of Cited Document	Page, Column, Lines, Where Relevant Paragraphs or Relevant Figures Appear
		Number and Type of Document			
AA*	US-3,399,149		08-27-1976	Dixit et al.	
AB*	US-3,822,301		06-27-1974	Lazare et al.	

#### FOREIGN PATENT DOCUMENTS

FOLLOW-UP PATENT DOCUMENT						
Examiner Name*	File No.*	Foreign Patent Document Number, Code, Title(s) And Date(s) If Known?	Publication Date MM-DD-YYYY	Name of Examiner or Applicant or Other Document Holder	Page, Column, Lines, Where Relevant Priorities Or Relevant Figures Appear	+
BA	EP-0779327-A1		08-18-1997			

**RECORDED:** formal release completed; no further action is required with MIF-104-000. Write line through section 10 in a continuous line, and complete body copy of this form with most recent communication to physician. **CME NO.** Trans. application# which was submitted will be selected, if not used in the CME No. and must be supplied. **CDER:** 27 CFR 1.76(b)(2)(v) that application was filed after June 30, 2004 is acceptable. The PDUF number and change date (optional) must be included. **See Report Code** for reporting requirements for each type of application. **CDER:** 27 CFR 1.76(b)(4) "Evidence that efforts have been made to obtain the original document." For generic patient documents, the evaluation of the title of the right of the physician may prevent the reveal number of the original document. Kind of document by the appropriate symbol as indicated on the document under **CDER** Section 17.16 "Evidence". **Indicates:** Is it a plain English copy? **Yes** or **No**. **Handwritten:** Indication is checked.

## NON-PATENT LITERATURE DOCUMENTS

NON-PATENT INFORMATION DOCUMENTS		
Examiner's name or title	Case No.	Include name(s) of the author(s) (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, meeting, etc.), date (page(s)), volume (issue) number(s), publisher, city and/or country where published.
	CA	PRASRAK et al. Macromol. Chem. Phys., Vol. 196, pp. 1963-1970, (1995).

EXAMINER: Can you tell me whether or not there is a relationship between MPE < 0.2%? Draw line through children if not a performance deficit not mentioned. Please copy in the form with this communication for approach.

**Applicant is unable to submit documentation required (option A).** Applicant is to provide a one page memo here if English language Translation is selected.

<b>Examiner/ Signature</b>	<b>Date Considered</b>
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